APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2002-00427 DATED February 10, 2003.

UTILITY ACCIDENT INVESTIGATION REPORT				
Utility:	LG&E Energy Corp. ("LG&E")			
Reported By:	Marty J. Reinert, LG&E			
Accident Occurred:	DATE - September 18, 2002	TIME - Approximately 3:20 PM		
Utility Notified:	DATE - September 18, 2002	TIME - Approximately 3:20 PM		
PSC Notified:	DATE - September 18, 2002	TIME - 3:45 PM		
Investigated:	DATE - September 18, 2002 FOL	LOW-UP - October 1, 2002		
Written Report Rcvd:	DATE - September 23, 2002			
Location of Accident:	FOX RUN SUBDIVISION - OFF OLD JEFFERSON COUNTY (New constru	·		
Description of Accident:				
	troubleshoot the UG loop system to determine the cause of the fault. Since Fishel had installed the URD ("Underground Residential Distribution") System, it was decided that Fishel personnel, Foreman Best and apprentice Thomas Lowe, would check the system out. Fishel Foreman Best would disconnect the first URD transformer. He then asked that the line fuse, which had initially blown on the lateral pole on Old Henry Road, be refused and closed to see if it would hold. This was done and the fuse held. Best and Lowe proceeded with further inspections (troubleshooting) of the URD System. UG transformers and pull boxes were checked. After checking the URD System, Best and Lowe met with Pike Foreman Simms and other personnel at the URD transformer that feeds the UC lateral cable Pike personnel would be working on. Best said he could not find any trouble. Best said the Pike personnel "laughed." It			

was later discovered that the initial fault occurred at the end of the UG cable that had been rolled up at the base of pole 50663-89316. Pike's assignment was to terminate and connect the UG lateral line to the existing old overhead line in order to serve two homes outside of the new subdivision line.

Fishel Foreman Best and Pike Foreman Simms went to lateral pole #50663-89316 to make sure they were working on the right cable. They did this by working the cable up and down in the UG conduit. Fishel apprentice Lowe had remained at the URD transformer to see which cable moved. The two foremen returned to the UG transformer where Lowe was. The two foremen, Best and Simms, had a discussion. Best said he told Simms he would go and energize the UG system. Best said Simms asked him to let him know if the fuse held. An interview was held at the accident eito with Simms. He said he did not remember their conversation that way, and if it was, he must have been "brain dead" that day.

Description of Accident: (cont.)

Fishel Foreman Best energized the UG system and the line fuse blew. It was at this point that the accident occurred. Mr. Walt Walker, a Line Tech-A for Pike, had started to work on the UG lateral cable near pole #50663-89316. Walker received a shock and was fatally injured. Walker was not wearing his rubber gloves or sleeves at the time of the accident.

The URD transformer involved in this accident had a double feed-through bushing. The other URD cable, coming from the first transformer and feeding the new subdivision, was plugged into the other side of the same double feed-through bushing. When the feed from the first URD transformer was energized, it energized a portion of the UG system, including the lateral cable that was being worked on. Best and Simms failed to recognize the need for, and failed to install the necessary grounding elbow prior to energizing this UG system.

Fishel Foreman Best had attached a red Hold Card to the elbow on the double feed-through bushing on the URD transformer. The red Hold Card was visible to Pike Foreman Simms and other personnel at the site. Best improperly used a red Hold Card as a message card, instead of a white Message Card. LG&E's normal practice is to use a white message card for information or instruction (during subsequent interviews). Best said, "I did not know to use a white card for messages, but I do now."

Vieti	ms:					
	Name:	Walt Walker	Fatal:	YES	Age:	46
	Addr./Empl	23268 #8, Huntington, WV Pike Electric Company, Mt. Airy, NC		···		
	Injuries:	Electrocution				•

	Name	Address/Employment
	Chad Best (Fishel Foreman) 9703 Independence School Road Louisville, KY 40212	Employee – Fishel Construction Co. 4508 Bishop Lane, Louisville, KY
	Thomas Lowe (Fishel Apprentice) 9111 Loch Lea Lane Louisville, KY 40261	Employee – Fishel Construction Co. 4508 Bishop Lane Louisville, KY
Witnesses:	Dominick Simms (Pike Foreman) 6775 Pioneer Trail Georgetown, IN 47122	Employee – Pike Electric Co. P. O. Box 868 Mt. Airy, NC
	Scott Ellis (Pike Working Foreman)	Employee – Pike Electric Co. RR #1, Box 145-J Chapmanville, WV
	Eric Dillahunty (Pike C-Line Tech)	Employee – Pike Electric Co. 3508 Wyndemere Court New Albany, IN
	Name	Address/Employment
	Keith McBride	Employee & Investigator, LG&E Louisville, KY
	Chad Best (Fishel Foreman) 9703 Independence School Road Louisville, KY 40212	Employee – Fishel Construction Co. 4508 Bishop Lane, Louisville, KY
	Thomas Lowe (Fishel Apprentice) 9111 Loch Lea Lane Louisville, KY 40261	Employee – Fishel Construction Co. 4508 Bishop Lane Louisville, KY
Sources of Information:	Dominick Simms (Pike Foreman) 6775 Ploneer Trall Georgetown, IN 47122	Employee – Pike Electric Co. P. O. Box 868, 6775 Pioneer Trail Mt. Airy, NC
	Scott Ellis (Pike Working Foreman)	Employee – Pike Electric Co. RR #1, Box 145-J Chapmanville, WV
	Eric Diliahunty (Pike C-Line Tech)	Employee – Pike Electric Co. 3508 Wyndemere Court New Albany, IN
	John Lucket	Employee, LG&E Team Leader over Pike Contract Crews, Louisville, KY

807 KAR 5:041, Section 3, National Electric Safety Code 1990 Edition,

Section 41, Protective Methods and Devices, Rule 411. A. 3. & 4.

- (3) Employees shall be instructed as to the character of the equipment or lines and methods to be used before any work is undertaken thereon.
- (4). Employees should be instructed to take additional precautions to ensure their safety when conditions create unusual hazards.

Section 42, General-Rules for employees, Rule 420. C. 4., D., H.

- (C) Safeguarding Oneself and Others.
- (C4) Employees who work on or in the vicinity of energized lines shall consider all of the effects of their actions, taking into account their own safety as well as the safety of other employees on the job site, or on some other part of the affected electric system, the property of others, and the public in general.
- (D) Energized or Unknown Conditions.

Employees shall consider electric supply equipment and lines to be energized, unless they are positively known to be de-energized. Before starting work, employees shall perform preliminary inspection or tests to determine existing conditions. Operating voltages of equipment and lines should be known before working on or in the vicinity of energized parts.

(H) Tools and Protective Equipment

Employees shall use the personal protective equipment, the protective devices, and the special tools provided for their work. Before starting work, those devices and tools shall be carefully inspected to make sure they are in good condition.

Section 42, Rule 421, A. 1, & 2, General Operating Routines

(A) Duties of a First Level Supervisor or Person in Charge

This individual shall:

- 1. Adopt such precautions as are within this individual's authority to prevent accidents.
- See that the safety rules and operating procedures are observed by the employees under the direction of this Individual.

Probable Violations:

807 KAR 5:006, Section 24. Safety Program. Each utility shall adopt and execute a safety program, appropriate to the size and type of its operations.

(1) Establish a safety manual with written guidelines for safe working practices and procedures to be followed by utility employees.

LG&E Energy HEALTH & SAFETY Manual

A. GENERAL RULES

A.23. Hold Card/Red Tag and Lockout Devices.

A.23.4 Note: Reference to Company Policy/Procedure for Lockout/Tagout.

C. DISTRIBUTION

C.1.1. Before work is commenced a job briefing (tailgate conference) shall be held with all employees to orient each employee as to:

- a. The hazards associated with the job.
- b. The work procedures involved.
- c. Any Special precaution to be taken.
- d. All energy source controls.
- e. Personal protective equipment required.

Probable Violations: (cont.)

C.1.2. Electrical equipment and lines shall always be considered as energized unless they are positively known to be dead and grounded. Before work is started on energized equipment or circuits, a preliminary inspection (or test) shall be made to determine existing conditions.

D. UNDERGROUND RESIDENTIAL DISTRIBUTION

D.3.1 Company Lockoul/Tagout Procedures shall be followed when sectionalizing URD systems.

D.4. Grounding-URD

D.4.1 All URD cables and equipment, including services, that have been energized or could become energized from any source, shall be considered as energized until the equipment is positively proven to be de-energized with an approved voltage detector and has been grounded.

D.4.2 Before doing work on de-energized primary circuits or equipment: (1) a visible open break shall be provided, (2) a voltage test shall be made; and (3) the equipment shall be grounded.

	D.4.4 De-energized cables shall be grounded at a point as close to the work as possible before work is started.				
Probable Violations: (cont.)	D.4.5 All underground cables and apparatus carrying current at voltages above 600 volts shall be de-energized and grounded before cables are worked. D.5. Work on Energized Equipment—URD				
	D.5.1 All underground cables and apparatus carrying current at voltage above 600 volts shall be de-energized before work is done on conductor or before the cables are cut into or spliced.				
Line Measurements Taken at Incident Site	Measured	Minimum Allowed by NESC	Applicable NESC Edition ¹ 1990	Volt.	Constr. Date
URD Transformer to incident Site:	183 feet	N/A	N/A	7200	2002
URD Transformer to Lateral Pole:	215 feet	N/A	N/A	7200	2002
Date of Measurement	SEPTEMBER 18, 2002				
Approximate Temperature:	85°				
Measurements Made By:	LG&E Personnel				
Investigated By:	Gary Grubbs, PE Manager, Electric Branch Division of Engineering John W. Land, Electric Investigator				
Signed / Date	David G. White, Electric investigator Concentration				

Attachments: A. Accident Report

B. Photographs of Accident Site

Current edition adopted by the Commission. If clearances are not in compliance with the current edition, then the edition in effect when the facilities were last constructed or modified would apply.

Attachment A Accident Report



Marty J. Reinert Regulatory Analyst II Regulatory Compliance LG&E Energy Corp. 220 West Main Street (40202) P. O. Box 32010 Louisville, Kentucky 40232 502-527-4173 502-527-3213 FAX

September 27, 2002

Mr. Gary Grubbs, Manager Kentucky Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602

RE: Contractor Electrocution Fox Run Subdivision Louisville, KY

Dear Mr. Crubbe:

Attached is an "Investigation Report" on the above incident which was prepared by Keith McBride. This is filed as a follow-up to the September 23rd letter.

If you need additional information concerning this incident, please feel free to contact me at (502) 627-4173.

Sincerely,

Marty J. Reinert

Regulatory Compliance

Attachment

INVESTIGATION REPORT

Contractor Electrocution

Type of Report

02-E-038 Report Number

Keith McBride

Investigator

September 18, 2002

Date of Incident

Reference: Electrocution of a Pike

Electric Company Employee

Location:

Fox Run Subdivision off Old Henry Road

Eastern Jefferson County (new construction - no known address)

Case Summary

On September 18, 2002 at approximately 3:20pm LG&E Electric Trouble Dispatchers received a call over the radio requesting EMS for a man down. The call came from a Pike Electric Company Foreman. The preliminary report was that a man was "shocked and down." I notified Marty Reinert of LG&E's Regulatory Compliance Department and he in turn notified the Kentucky Public Service Commission.

Investigation

On September 18, 2002 at approximately 3:20pm two Pike Electric Company ("Pike") crows were working to attach an underground lateral cable (line) to a pole and terminate it to an overhead line. One employee was holding the lateral cable while kneeling on the ground and was using a knife to skin the insulation off of the lateral cable. At this time the line became energized and the Pike employee received a shock from the 7200 volt URD cable. The Pike employee was transported to University of Louisville Hospital via EMS. The Pike Electric employee died at the hospital.

Investigation of the scene found that the area was under construction and was to be a new subdivision. The subdivision was to be fed via a URD electric system which had been installed by the Fishel Electric Company ("Fishel"). Pike Electric

Company was to attach the URD lateral lines to a pole on Old Henry Road and terminate them to the overhead system that was to feed the URD system.

Pike was to also attach another lateral cable to a pole and terminate it to an overhead branch line (that was feeding two homes not within the new subdivision). The remaining overhead branch line was to be removed. After terminating the lateral lines on Old Henry Road, the Pike crews went to the area of the pad mounted transformer, in the subdivision, where the other lateral line was fed.

While the Pike crew was working on the lateral, a Fishel Company electric crew arrived on scene and was to energize the URD system by fusing up the lateral lines on Old Henry Road. We understand that the Pike and the Fishel crews were at the lateral pole on Old Henry when the fusing was being done. When the Fishel crew fused up the first lateral, the fuse blew. They then fused the second lateral and the fuse held. The Fishel crew went to the first transformer and stood the elbow off, thus de-energizing that section of URD cable. The Fishel crew then started to check all of the URD splice boxes and pad mounted transformers in the subdivision to find the problem.

Meanwhile, the Pike Electric crews proceeded to the area where the other lateral and lateral pole was located within the subdivision and started their work. The Fishel crew stated that they could not find anything wrong in any of the splice boxes or in any of the pad mounted transformers. Fishel then went to the area of the transformer that the aforementioned lateral was connected to and told the Pike Foreman that they did not find anything wrong.

Chad Best of the Fishel crew stated that he advised Pike that the Fishel Crew was going to plug the elbow in and re-energize the URD cable coming out of the first transformer and see if the fuse would hold. Pike continued with their work on that lateral line. The Fishel crew plugged the elbow in and the fuse blew a second time. At this point they noticed the Pike employees running and thought there was something wrong. When they arrived at the Pike work site they found that a Pike employee had received a shock from the 7200 volt URD lateral line.

Subsequent inspection of the transformer and the lateral line found that the lateral line that the Pike employees were working on was plugged into a double feed-through bushing. The other URD cable coming from the first transformer and feeding the entire subdivision was plugged into the other side of the same double feed-through bushing. When Fishel energized the first transformer, they energized the subdivision's URD cable and the lateral line at the same time. Photographs and measurements were taken of the scene.

Weather – sunny 85 degrees Lateral pole number – 50663 / 89316 Transformer to incident site 183ft / Transformer to lateral pole – 215ft

URD cable - 1/0 cable with a concentric neutral Voltage - 7200

Pike Electric Company PO Box 868 Mt. Airy, North Carolina 27030-9908 1-800-343-7453

Walt Walker - deceased Line Tech - A / Pike 12168 Rear #8 Huntington, West Virginia (304) 529-1676

Dominick Simms – Foreman Pike 6775 Pioneer Trail Georgetown, Indiana 47122 (812) 366-4124

Scott Ellis - Working Foreman / Pike RR #1 Box 145-J Chapmanville, West Virginia 25508 (304) 855-1323

Eric Dillahunty – C-Line Tech / Pike 3508 Wyndemere Court New Albany, Indiana 47150 (812) 968-7888

Fishel Company 4508 Bishop Lane Louisville, Kentucky 40218 (502) 456-2900

Chad Best - Lead B-Line Tech / Fishel Company 9703 Independence School Road Louisville, Kentucky 40212 (502) 239-3282

Thomas Lowe Apprentice / Fishel Company 9111 Loch Lea Lane Louisville, Kentucky 40261 (502) 231-8615

LG&E Energy Services FACSIMILE COVER SHEET

From: Mary of Bainert
Telephone Number (502) 627- 4173
220 West Main Street - 5th Floor
Louisville, Kentucky 40202 Regulatory Affairs Fax Number: (502) 627-3213
Faxing to Fax Number: 502 - 564 - 1582
Company: Ry PSC
Attn: fohn LAND
Comments: Gunnary + hoguest for a tension
Number of Pages (including this cover page) Please call at (502) 627-
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marty J. Reinarr Regulatory Analysi II Regulatory Compliance LGBE Energy Corp. 220 West Main Street (40202) P. O. Bax 32010 Lauloville, Kentucky 40232 602-0274173 502-0274213FAX

September 23, 2002

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RE: Contractor Electrocution Fox Run Subdivision Louisville, KY

Dear Mr. Grubbs:

Attached is a summary of the above incident. This is filed in compliance with the seven-day reporting requirement.

A more detailed report of this incident will follow in the coming days.

If you need additional information concerning this incident, please feel free to contact me at (50a) 6a7-4173

Sincerely,

Marty J. Reinert Brings

Regulatory Compliance

Attachment

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ETZE-129-209 Z0:9T Z002/EZ/60

LG&E Energy Services FACSIMILE COVER SHEET

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From: MARTY & REINERT	9.27.02 €
riola.	3.00 PM
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220 West Main Street - 5th Floor	CC: G. GRUBBS D. WHITE
Louisville, Kentucky 40202	J. LAND
Regulatory Affairs Fax Number: (502) 627-3213	J. ZAMD.
/	
Faxing to Fax Number: 502 - 584 - 1582	
Company: Ky PSC	
Company: Ky PSC Attn: GARY CRUBBS	
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Marty J. Reinert Regulatory Analysi II Regulatory Compliance LG&E Energy Corp. 20 West Moin Shoot (40600) P. O. Box 32010 Louisville. Kentucky 40232 022-027-9173 502-027-923 FAX

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LG&E Energy Services
FACSIMILE COVER SHEET

h - 1 1 2	
From: Mary of Bainert	
Telephone Number (502) 627- 44/73 220 West Main Street - 5th Floor	
220 West Main Street - 5th Floor	
Louisville, Kentucky 40202 Regulatory Affairs Fax Number: (502) 627-3213	
Faxing to Fax Number: 502 584-158	2_
Company: Ky PSC	,
Attn: form LAND	
Comments: Ghownery + Laguest for	horsion
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Barty J. Reinert Requistory Analyst II Regulatory Compliance LGAE Energy Cerp. 220 West Main Street (40202) P. O. Box 32010 Louisville, Kentucky 40232 502-527-3213 FAX

September 23, 2002

Mr. Gary Grubbs, Manager Kentucky Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602

RE: Contractor Electrocution Fox Run Subdivision Louisville, KY

Dear Mr. Grubbs:

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A more detailed report of this incident will follow in the coming days.

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Sincerely,

Marty J. Remert

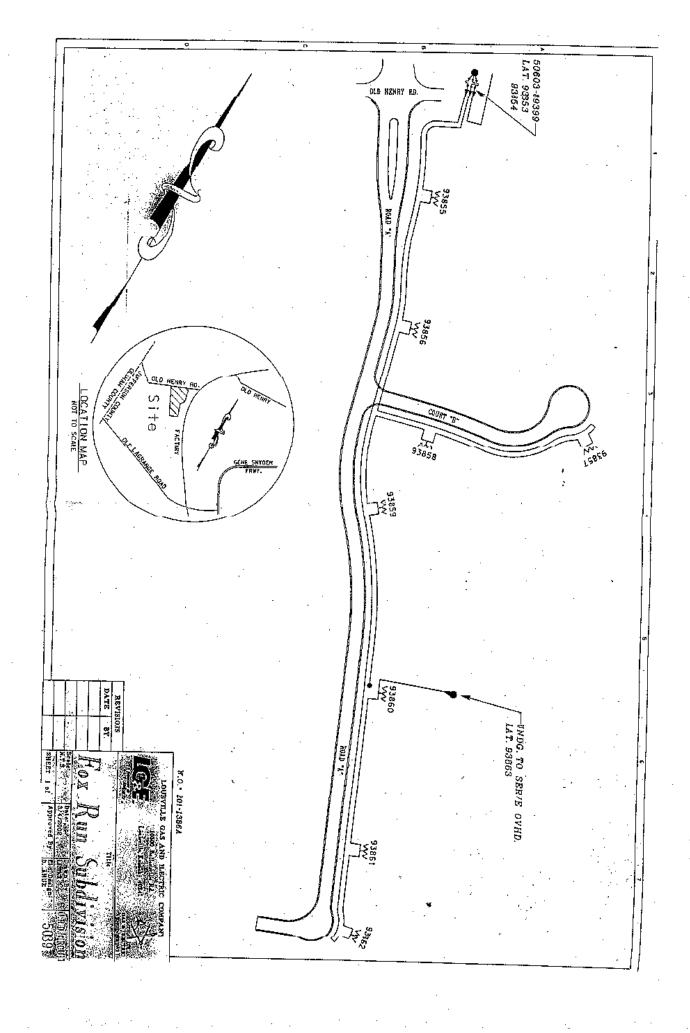
Regulatory Compliance

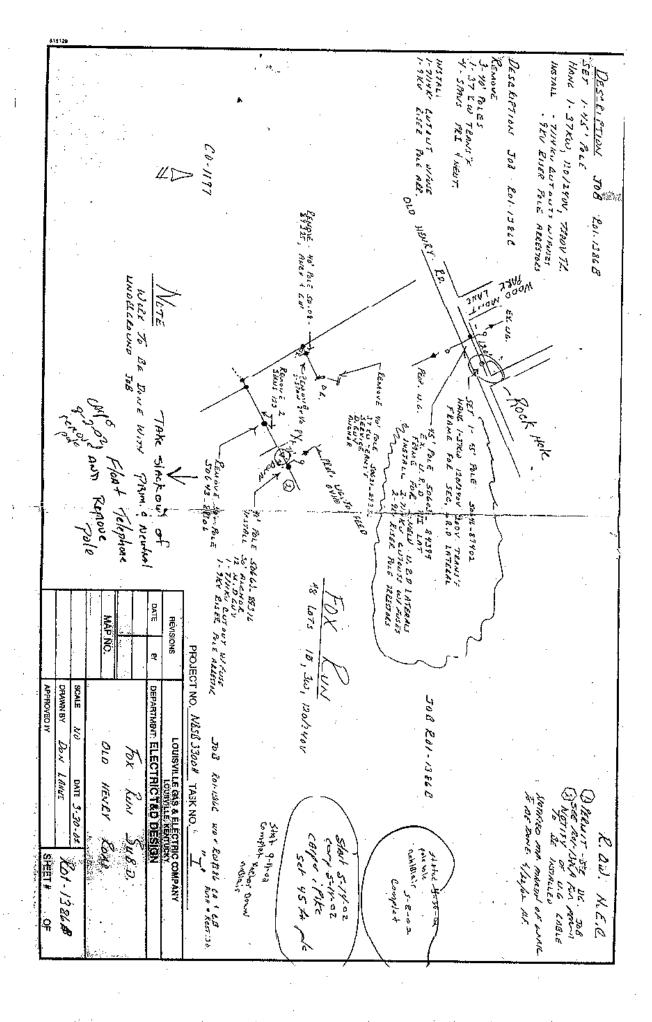
Attachment

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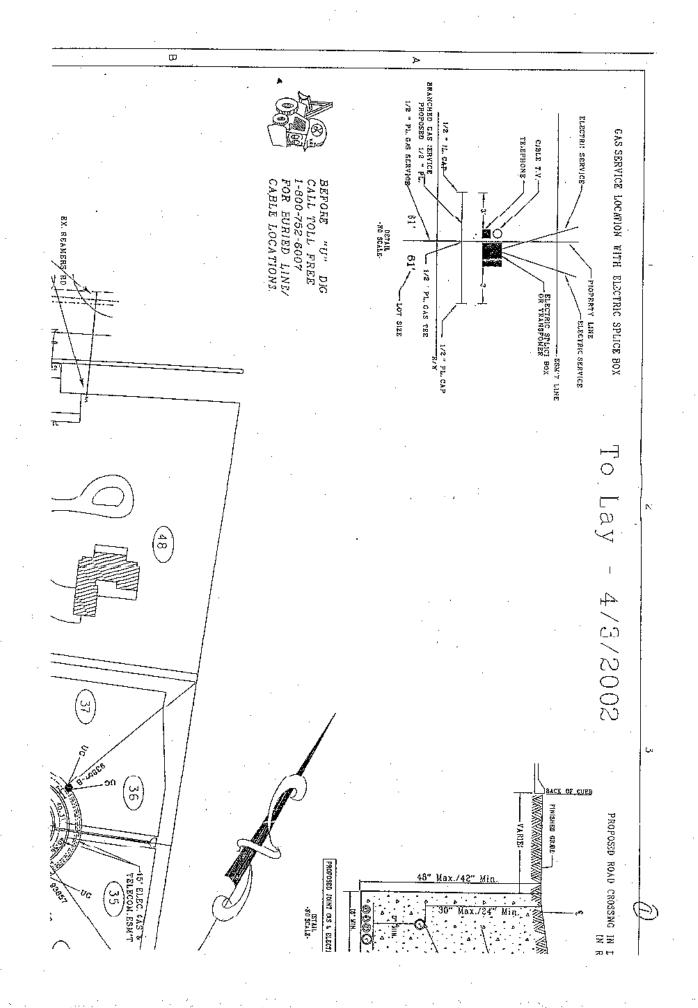
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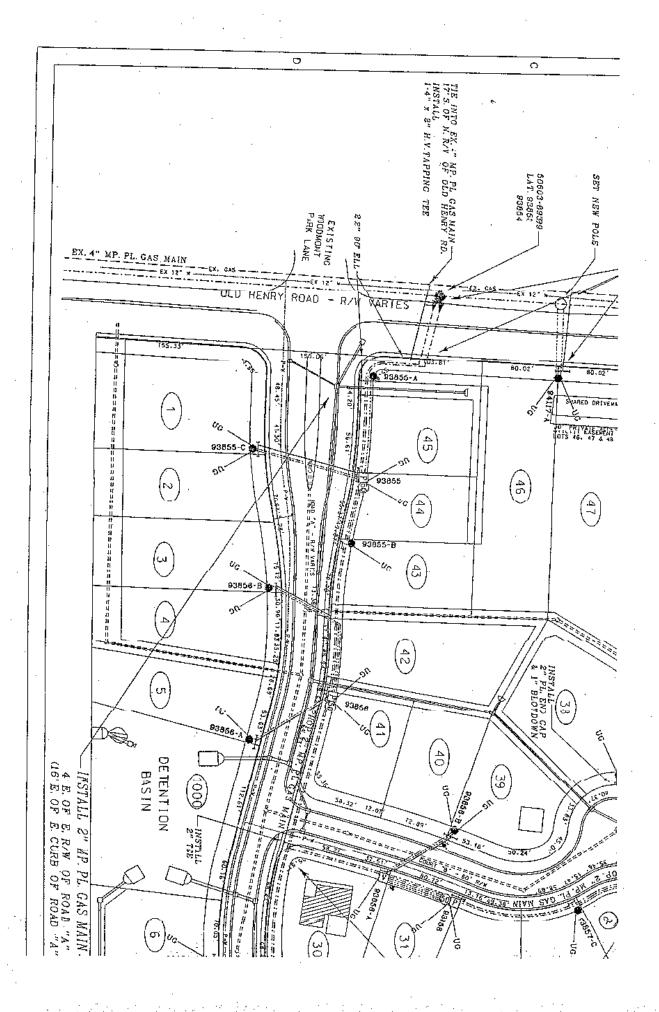
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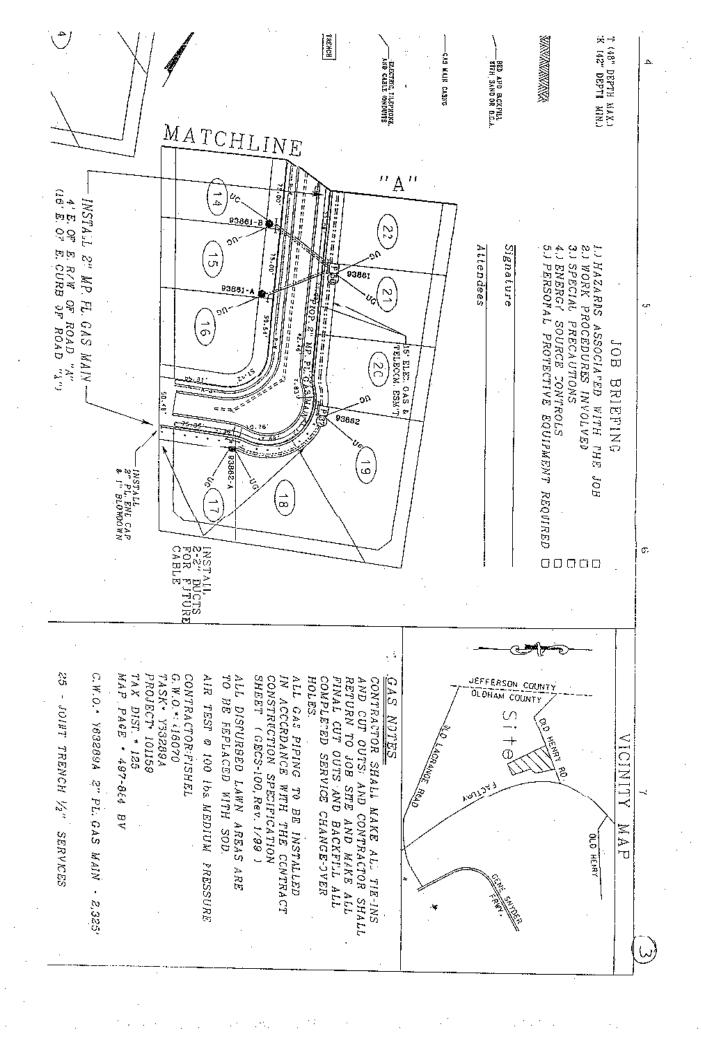


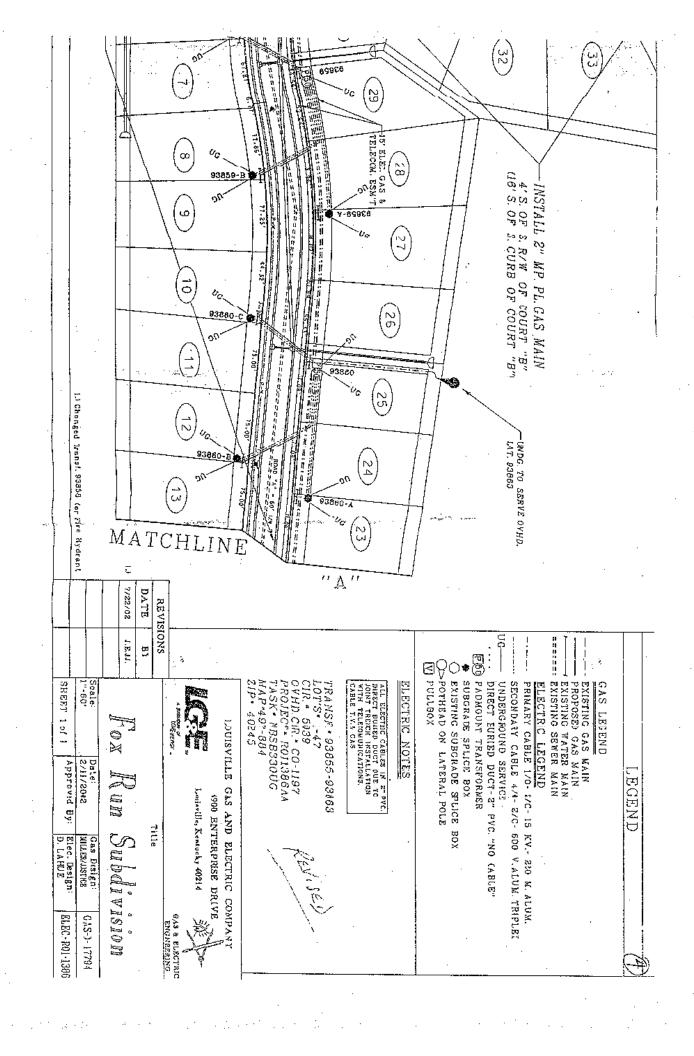


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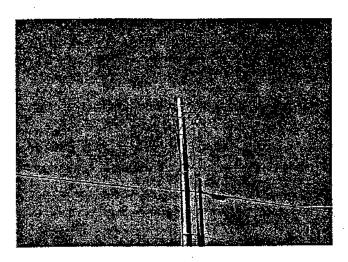




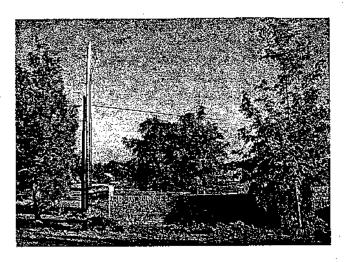




Attachment B
Photographs of Accident Site



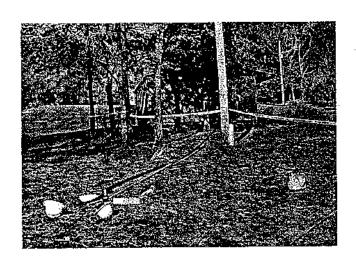
Underground "Drop Pole" Feed for Subdivision



View from drop pole to accident sight (behind house)



Accident area with PPE on-sight



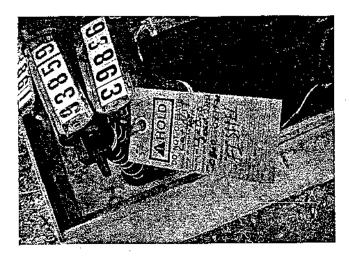
Accident area with PPE on-sight



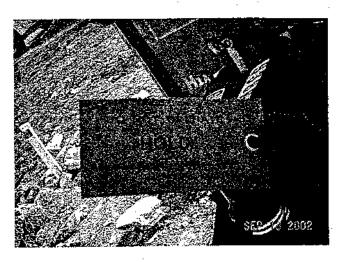
View from accident area toward pad mount transformer



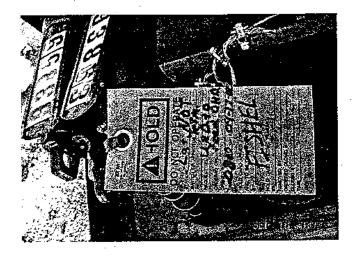
Subdivision layout



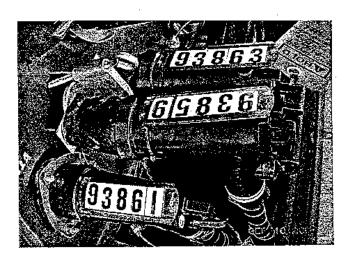
Pad Mount Transformer showing installed card and cable terminations



Card installed



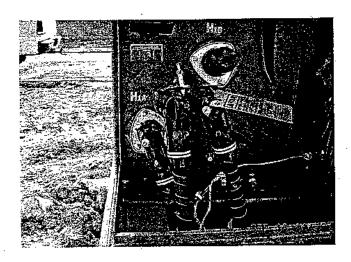
Wording on installed "Card"



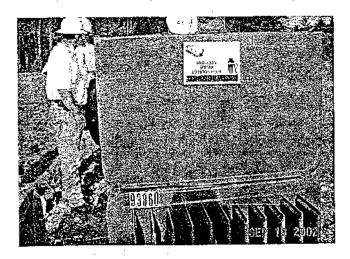
Transformer/Cable Terminations



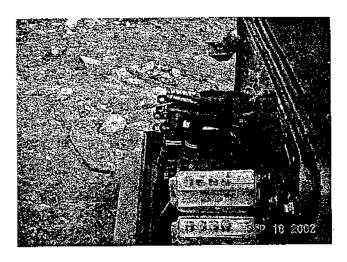
Transformer ~ source for lateral



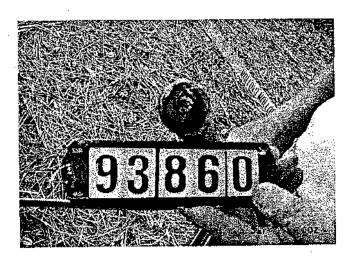
Transformer - source for lateral



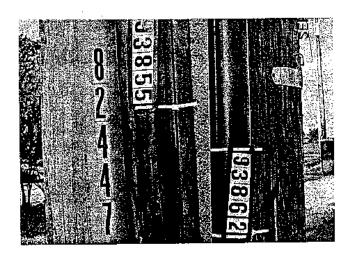
Pad Transformer



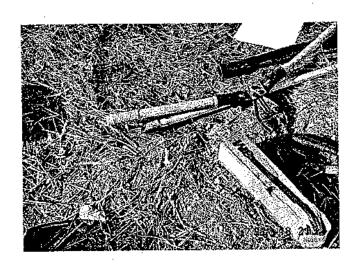
Pad Transformer



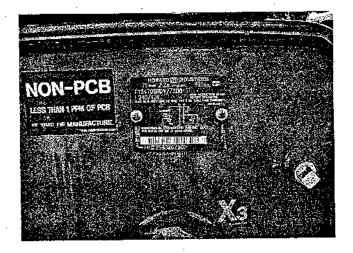
Cable Tag



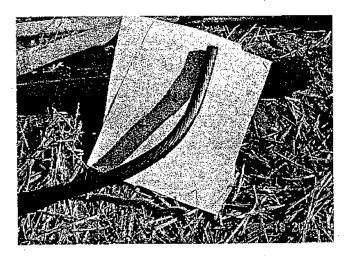
Cable Tags at Drop Pole



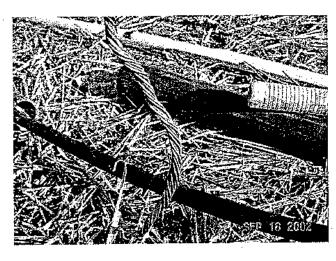
Accident Site



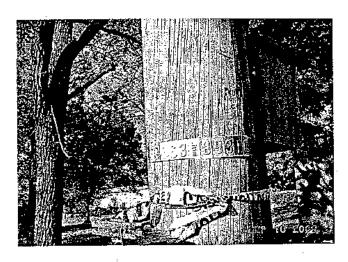
Pad Transformer Nameplate



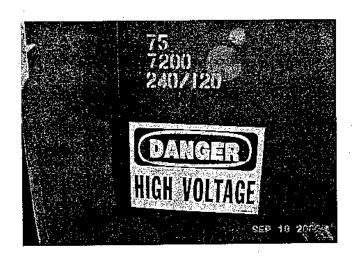
Cable End ~ Accident Contact Burn/Arc Marks



Cable Neutral ~ Burn/Arc Marks



Lateral Pole At Accident Site



Pad Transformer



View From Pad Transformer Toward Accident Site



Entrance Of Subdivision



LG&E ACCIDENT ~ 09/18/2002

This section of cable is the portion apparently removed from the underground lateral by Mr. Walker prior to the accident that resulted in his fatility.

The cable shows results of arcing that was apparently due to the initial fault.

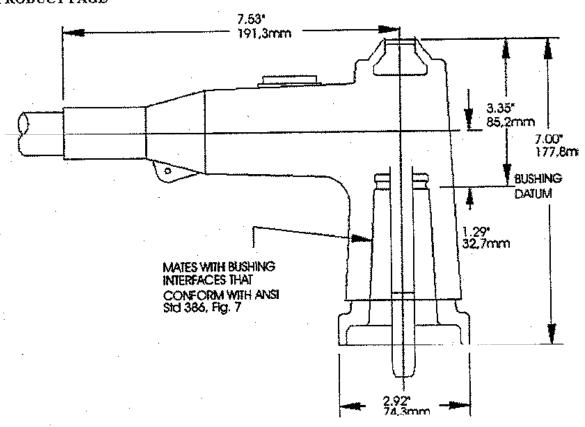
Photo was provided to the KY PSC by Mr. Keith McBride of LG&E.

Elastimold[®]
Grounding Elbow (1/0 AWG x 6' Ground Lead)

Separable Connectors

200 Amp Loadbreak
160GLR

Voltage Class 15kV PRODUCT PAGE



Description

The 160GLR grounding elbow is designed to visibly ground cables, transformers, switchgear or other
equipment. It can be operated alone or in combination with the 164FT feedthru. The 160GLR grounding
elbow has a stainless steel pulling eye and a 1/0 AWG, 6 ft, 600 volt insulated, stranded, tinned copper
ground lead.

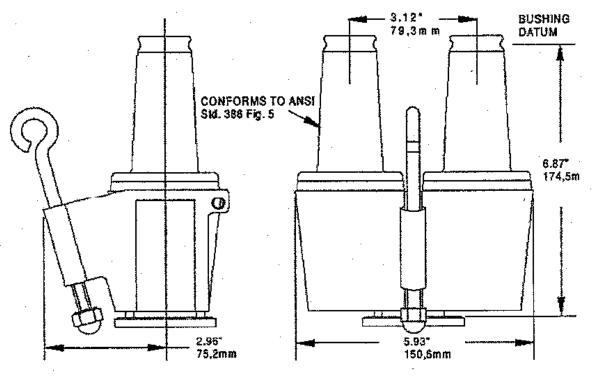
Ordering Instructions

To order, specify catalog number 160GLR Grounding Plug.

Elastimold® Feed-Thru Separable Connectors

200 Amp Loadbreak
164FT

Voltage Class 15kV



Description

- The 164FT feed-thru is a fully shielded, fully submersible, separable insulated connector designed for energized operation. It is suitable for use on 15kV class loadbreak systems. When used in conjunction w 15kV class elbow connectors, the 164FT provides the circuit with the loadbreak junction point. Testing an grounding of the circuit is accomplished when the 164FT is used in conjunction with the 370TR and 160G When used in conjunction with a 160DR the 164FT can be used to isolate and "dead-end" the circuit. The 164FT is designed to mate with the following Elastimold products:
- 165LR/166LR elbow connector
- 370TR test rod
- 160GLR grounding elbow
- 160DR/DRG insulated cap

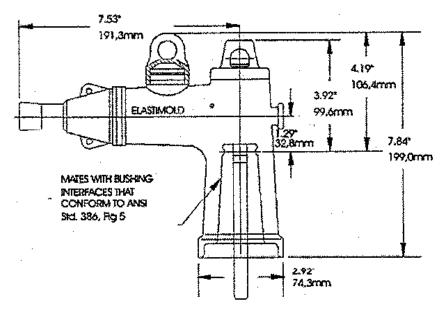
Ordering Instructions

. To order, specify number 164FT Feed thru

Elastimoid® Elbow Connector w/ Test Point Separable Connectors

200 Amp Loadbreak 166LR-B5240

Voltage Class 15kV PRODUCT PAGE



Description

The Elastimold 166LR Elbow Connector is a fully rated 15kV, 200 Amp Class loadbreak connector.
 It includes provisions for energized operation using standard hotstick tools allowing
 loadmake/break operation and a visible disconnect. It has a standard interface for connecting to
 15kV, 200 Amp bushing inserts, junctions and operating accessories. The 166LR is equipped with
 an integral voltage test point.

Features

- 15kv, 200 Amp Loadbreak Elbow
- Fully shielded, fully submersible molded rubber housing.
- 100% peroxide-cured construction includes insulation and conductive EPDM materials.
- Non-corrosive, capacitively coupled voltage test point provision with removable protective cap.
- Provision for hot stick operation.
- Provision for ground wire connection.
- Wide cable range with minimum number of sizes.
- Long bi-metal compression lug is standard.

Applications

The 166LR is designed for connecting to and operating 15kV Class, 200 Amp distribution apparatus. It provides a convenient method to connect/disconnect cable and equipment on power distribution systems. The 166LR allows connection of cables with insulation diameters from 0.575" (14,6mm) to 1.220" (31,0mm) with only four elbow sizes. (#2 solid, 175 mil to 4/0 stranded, 260 mil).